Reporting Process Consent **Data entered by Providers Statistical Linkage Key Disclosed/reported to DSS** Information stored by DSS (Y/N)? Process for generating Statistical What is stored & accessible/visible in What data is entered by the Has the Provider disclosed/reported provider into the client Linkage Key (SLK) Client's Pseudonym and/or full the client level unit record? management system? residential address to DSS? **Provider reports** Yes 1A via the web based Client's Pseudonym + full The SLK is generated in the web SLK + MPR/VER including Client's Yes. portal residential address (i.e. includes based portal at the time the client Pseudonym, as well as full residential address lines 1 and 2) + other record is saved in DEX address Mandatory Priority Requirements (MPR)/Voluntary Extended Requirements (VER). Provider enters all client level DSS hosts the web based portal, the At the same time that the SLK is Client's Pseudonym, as well as full information (including address line residential address is stored but not SLK is generated on the 'DSS' side of generated, the Client's Pseudonym + the internet browser.(i.e. the full residential address (i.e. includes accessible/visible except in **1&2**) into the web based portal. internet browser through which the address line 1 + 2 is exceptional circumstances. provider is accessing DEX) disclosed/reported. Yes 1B Client's Pseudonym & residential The SLK is generated in the web Yes, including partial residential SLK + MPR/VER including Client's address excluding address lines 1 based portal at the time the client address only Pseudonym & partial residential **& 2** + other MPR/VER. record is saved in DEX address (i.e. excluding address line 1& 2) Client's Pseudonym, as well as partial Provider chooses not to enter the DSS hosts the web based portal, the At the same time that the SLK is residential address, is stored but not SLK is generated on the 'DSS' side of address line 1 & 2 generated the Client's Pseudonym + accessible/visible except in the internet browser.(i.e. the partial residential address (i.e. exceptional circumstances. internet browser through which the excludes address line 1 + 2) is It is not mandatory for the provider provider is accessing DEX) disclosed/reported to DSS. to provide address line 1 and 2 even if the client consents. No 2 Client's Pseudonym + partial The SLK is generated in the web No, but partial residential address is SLK & MPR/VER disclosed/reported. residential address + MPR/VER based portal at the time the client record is saved in DEX

AFFENDIX 5. Overview of information flow – Frovider to D55 (chefit 5 FSeddonyin)								
Reporting Process	Consent (Y/N)?	Data entered by Providers What data is entered by the provider into the client management system?	Statistical Linkage Key Process for generating Statistical Linkage Key (SLK)	Disclosed/reported to DSS Has the Provider disclosed/reported Client's Pseudonym and/or full residential address to DSS?	Information stored by DSS What is stored & accessible/visible in the client level unit record?			
		Provider enters all client level information into the web based portal except the client's street name and number (i.e. address line 1 & 2) Where the client does not consent, the Provider may only supply the client's State, Suburb & Postcode as the client's residential address.	DSS hosts the web based portal, the SLK is generated on the 'DSS' side of the internet browser.(i.e. the internet browser through which the provider is accessing DEX). After the SLK is generated the client's pseudonym is not saved		Client's Pseudonym is <u>not stored</u> on DSS servers, but the State Suburb and Postcode <u>is stored</u> against each SLK			
Provider reports via a system to system transfer or bulk upload	Yes	<u>3</u> MPR/VER, Client's Pseudonym & full/partial residential address This information is transferred or uploaded from the Provider operated system to DSS servers. It is not mandatory for the provider to provide address line 1 and 2 even if the client consents.	The SLK is generated at the time the client record is transferred to DEX	Yes.	SLK + MPR/VER, and Client's Pseudonym & full/partial residential address Client's Pseudonym, as well as full/partial residential address, is stored but not accessible/visible except in exceptional circumstances.			
		<u>4A</u> MPR/VER <u>excluding Client's</u> <u>Pseudonym & full residential</u> <u>address</u> The provider attempts to transfer the information from the Provider operated system to DSS servers.	No SLK is generated as the client record will get rejected/not be saved	No.	No client level unit record is stored.			

Reporting Process Consent **Data entered by Providers** Statistical Linkage Key **Disclosed/reported to DSS** Information stored by DSS (Y/N)? Process for generating Statistical What data is entered by the What is stored & accessible/visible in Has the Provider disclosed/reported provider into the client Linkage Key (SLK) Client's Pseudonym and/or full the client level unit record? management system? residential address to DSS? 4B No SLK is generated as the client No. No client level unit record is stored. record will get rejected/not be saved MPR/VER excluding Client's Pseudonym & including full residential address *The provider attempts to transfer* the information from the Provider operated system to DSS servers. Yes, including full/partial residential SLK + MPR/VER + Client's Pseudonym 5 DSS generates the SLK after the Provider reports via transfer or address. + full/partial residential address Client's Pseudonym + full/partial upload residential address + other MPR/VER. This information is transferred or DSS generates the SLK whenever the Client's Pseudonym & full/partial Client's Pseudonym, as well as uploaded from the Provider full/partial residential address, are client consents residential address is operated system to DSS servers. disclosed/reported stored but not accessible/visible except in exceptional circumstances. It is not mandatory for the provider to provide address line 1 and 2 even if the client consents. No 6 The SLK is generated by the provider No. SLK + MPR/VER excluding Client's before transfer or upload Pseudonym & full residential address SLK + MPR/VER excluding Client's Pseudonym & full residential address This information is transferred or The SLK is generated by the provider Client's Pseudonym & full residential uploaded from the Provider using the Provider operated system address is not stored on DSS servers. operated system to DSS servers. for non-consenting clients.

Reporting Process	Consent (Y/N)?	Data entered by Providers	Statistical Linkage Key	Disclosed/reported to DSS	Information stored by DSS
		What data is entered by the provider into the client management system?	Process for generating Statistical Linkage Key (SLK)	Has the Provider disclosed/reported Client's Pseudonym and/or full residential address to DSS?	What is stored & accessible/visible in the client level unit record?
		Where the client does not consent, the Provider may only supply the client's State, Suburb & Postcode as the client's residential address			
Provider reports via a system to system transfer or bulk upload, <i>but</i> <i>fails to adhere to</i> <i>DEX Protocols</i>	No	Z SLK + Client's Pseudonym + full residential address +other MPR/VER	The SLK is generated before transfer or upload	Yes, despite the absence of consent.	SLK + MPR/VER excluding Client's Pseudonym & including partial residential address Client's Pseudonym is not stored on DSS servers. Partial residential address is stored.
		While the Client's Pseudonym & full residential address should not be supplied, it may be inadvertently transferred or uploaded, together with the SLK.	The SLK is generated by the provider using the Provider operated system for non-consenting clients	Client's Pseudonym and full residential address is disclosed/reported to DSS. A system generated warning informs the Provider that this information will not be saved for non-consenting clients.	
		<u>8</u> Client's Pseudonym & full residential address + other MPR/VER	No SLK is generated	Yes, despite the absence of consent	No client level unit record is stored.
		While the Client's Pseudonym and full residential address should not be reported, it has been inadvertently transferred or uploaded without generating the	The SLK is not generated by the provider using the Provider operated system despite this being mandatory. DSS will not generate the SLK for non-consenting clients.	A system generated warning informs the Provider that the Client's Pseudonym and full residential address will not be saved if any of this information is supplied for non-	

Reporting Process	Consent (Y/N)?	Data entered by Providers What data is entered by the provider into the client management system?	Statistical Linkage Key Process for generating Statistical Linkage Key (SLK)	Disclosed/reported to DSS Has the Provider disclosed/reported Client's Pseudonym and/or full residential address to DSS?	Information stored by DSS What is stored & accessible/visible in the client level unit record?
		SLK.		consenting clients.	